

8-10-2017

## Advancement & Communications Committee Meeting Packet 08/10/2017

UC Hastings Board of Directors

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HASTINGS  
COLLEGE  
OF THE LAW

**ADVANCEMENT AND  
COMMUNICATIONS COMMITTEE  
MEETING**

**August 10, 2017**

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## NOTICE OF MEETING

The Advancement and Communications Committee of the University of California Hastings College of the Law Board of Directors will meet on Thursday, August 10, 2017.

EVENT: Meeting of the University of California,  
Hastings College of the Law Board of Directors  
Advancement and Communications Committee

DATE: Thursday, August 10, 2017

PLACE: UC Hastings College of the Law  
A. Frank Bray Board Room  
198 McAllister Street, 1-Mezzanine  
San Francisco, CA 94102

STARTING TIME: 12:30 p.m. or immediately following the Finance Committee Meeting that starts at 10:15 a.m.

AGENDA: See Attached

*This notice is available at the following University of California, Hastings College of the Law website address: <http://www.uchastings.edu/board>*

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*For further information please contact Elise Traynum, Secretary of the Board of Directors, 198 McAllister Street, San Francisco, CA 94102, and (415) 565-4851. You are encouraged to inform Ms. Traynum of your intent to speak during the public comment period 72 hours in advance of the meeting.*

*The University of California, Hastings College of the Law subscribes to the Americans with Disabilities Act. If you need reasonable accommodations, please contact the Secretary's Office by 10 a.m. on Monday, August 7, 2017.*



**UNIVERSITY OF CALIFORNIA  
HASTINGS COLLEGE OF THE LAW**

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING  
AGENDA**

Thursday, August 10, 2017 – 12:30 p.m.  
UC Hastings College of the Law  
A. Frank Bray Board Room  
198 McAllister Street, 1-Mezzanine  
San Francisco, California 94102

1. Roll Call

Chair Chip Robertson  
Director Donald Bradley  
Director Marci Dragun  
Director Mary Noel Pepys  
Director Courtney Power

2. Public Comment (Oral)

\*3. Approval of Minutes – May 11, 2017 (Written)

4. The Power of Why  
Presented by Director of External Relations Alex Shapiro (Oral)

5. FY17 Development Reports  
Presented by Director of Major Gifts & Gift Planning Robin Drysdale

- 5.1 FY 2017 Fundraising Comparison Raised Report (Written)
- 5.2 FY 2017 Fundraising Comparison Received Report (Written)
- 5.3 2018 Fiscal Year Outlook — Major Gifts and Gift Planning (Oral)
- \*5.4 Review and General Recommendation Action for Slate of Appointment of the UC Hastings Foundation Trustees (Written)

6. Fiscal Year Outlook — Alumni Engagement  
Presented by Sr. Director of Alumni Engagement John McCoy (Oral)

\*7. Adjournment (Oral)

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

Roll-Call

Here    Absent

<input type="checkbox"/>	<input type="checkbox"/>	Chair Chip Robertson
<input type="checkbox"/>	<input type="checkbox"/>	Director Donald Bradley
<input type="checkbox"/>	<input type="checkbox"/>	Director Marci Dracun
<input type="checkbox"/>	<input type="checkbox"/>	Director Mary Noel Pepys
<input type="checkbox"/>	<input type="checkbox"/>	Director Courtney Power

Start time: \_\_\_\_:\_\_\_\_ a.m./p.m.

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

**Public Comment Period**

This item is reserved for members of the public to comment on non-agenda and agenda items.

Agenda Item: \*3  
Advancement and Communications  
August 10, 2017

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

**ACTION ITEM:** Approval of Minutes: May 11, 2017



**UNIVERSITY OF CALIFORNIA  
HASTINGS COLLEGE OF THE LAW**

**ADVANCEMENT AND COMMUNICATION COMMITTEE  
MEETING MINUTES  
MAY 11, 2017**

1. Roll Call

Chair Chip Robertson  
Director Donald Bradley  
Director Marci Dracun  
Director Mary Noel Pepys  
Director Courtney Power

Chair Chip Robertson called the meeting to order and the Secretary called the roll for the Advancement and Communication Committee meeting.

2. Public Comment  
None.

- \*3. Approval of Minutes – February 9, 2017  
Chair Robertson called for approval of the February 9, 2017 minutes. The minutes were approved.

4. What We Talk About When We Talk About UC Hastings in the News,  
Neighborhood, and Nationally  
Presented by Director of External Relations Alex Shapiro

Director of External Relations Alex Shapiro presented on the three topics discussed when building public understanding and support for UC Hastings. The three topics are growth, justice, and location.

Growth: Director of External Relations Shapiro noted that the College is growing in three ways: (1) through building construction—which will in turn result in a growing number of students and people who will make up the future graduate village; (2) through the College's reputation and profile; and (3) through programs and partnerships—such as



when the *International Jurist* honored UC Hastings as having one of the best LLM programs in the country.

Justice: Director of External Relations Shapiro noted that the College's emphasis on justice is what separates it from fellow law schools.

Location: He lastly noted that the College's location is of significant importance. Its proximity to the courts make the College and its community conveniently located for news outlets. For example, when oral arguments were held at the Ninth Circuit Court of Appeals, Professor David Levine was able to interview with national and international news outlets on ten separate occasions. Taking further advantage of the College's location, Director of External Relations Shapiro is working with Above the Law on a podcast series called "Better Know a Circuit" during which the series will discuss current cases that are in front of the Ninth Circuit.

5. Fundraising Comparison Raised Report

Presented by Chief Development Officer Eric Dumbleton

Chief Development Officer Eric Dumbleton presented his report on raised donations. He reported that raised donations are \$2 million ahead of the raised amount at this time last year. There is \$4.5 million raised with \$760,000 unrestricted and \$824,000 for capital and facilities. A \$1 million bequest was received from the estate of Al Abramson which is earmarked for scholarships for students in need. Chancellor & Dean David Faigman noted that he has asked the trustee of the gift if the College can use the funds for current-use scholarships. Director Mary Noel Pepys asked about the Commit to Hastings fund's donations. Chief Development Officer Dumbleton responded that the group has raised around \$25,000 to \$30,000 from recent graduates along with some Trustees of the UC Hastings Foundation. He also mentioned that his office received a \$3 million bequest intention from an anonymous donor.

6. Fundraising Comparison Received Report

Presented by Chief Development Officer Eric Dumbleton

Chief Development Officer Dumbleton reported that received donations are tracking ahead of last year at \$4.8 million total. There is about \$560,000 in cash for the Building UC Hastings fund. Chair Chip Robertson asked if the Office of Alumni Engagement has a target number of donors it seeks every year or if the office focuses on the average amount given. Chief Development Officer Dumbleton responded that the College currently has twelve to fifteen percent participation from alumni, which is in line with the national average for institutions of higher education. However, Chief Development Officer Dumbleton said his goal is to foster twenty-five percent participation by developing a fundraising matching campaign. With this campaign, if participation is raised to twenty-five percent, a donor will donate \$1 million. A lengthy discussion ensued about planned giving.

7. Staffing Update

Presented by Chief Development Officer Eric Dumbleton

Chief Development Officer Dumbleton gave his staffing update, noting that he is fully staffed at this time. He created a Director of Alumni Engagement position that is held by John McCoy. Chief Development Officer Dumbleton also hired Kathleen Daley in a new position as Executive and Development Assistant and Hilary Low as Assistant Director of Major Gifts. He discussed the need for a dedicated staff person focused on planned giving. He noted that alumnae Judy Villard, class of '67, intends to take a leadership role with her reunion committee to lead conversations with alumni from her class about planned giving.

8. Fundraising Status: 333 Golden Gate Avenue  
Presented by Chief Development Officer Eric Dumbleton

Chief Development Officer Dumbleton presented the 333 Golden Gate Avenue building fundraiser report. As of April 26, 2017, the College has raised over \$2.1 million and has in cash a total of \$760,824.59

A lengthy discussion ensued about ensuring that there is 100 percent donation participation from the Board of Directors, the Foundation, and the Board of Governors.

9. New Planned Gifts  
Presented by Chief Development Officer Eric Dumbleton

Chief Development Officer Dumbleton reported on an increased focus on planned giving. He discussed the need for a staff person dedicated to planned giving. A lengthy discussion ensued on how to increase and solicit planned giving including the concept of raising awareness of planned giving by way of highlighting graduates who practice estate planning. He also noted that alumnae Judy Villard, class of '67, intends to take a leadership role with her reunion committee to lead conversations with alumni from her class about planned giving.

- \*10. Adjournment  
There being no further business to come before the Advancement & Communication Committee, the meeting was adjourned.

**REPORT ITEM**

1.     **REPORT BY:**                   Alex Shapiro, Director of External Relations
2.     **SUBJECT:**                    The Power of Why
3.     **REPORT:**                    Oral

**Background:**

- A presentation on external relations at UC Hastings.

**REPORT ITEM**

1.     **REPORT BY:**                   Robin Drysdale, Director of Major Gifts & Gift  
Planning, Office of Development & Alumni  
Engagement
2.     **SUBJECT:**                    FY17 Fundraising Comparison Raised Report
3.     **REPORT:**                    Written

**Background:**

- A review of final FY17 Raised Report

**Attachment:**

- 5.1 FY17 Fundraising Comparison YTD Raised Report

## Campaign Comparison Year to Date Raised Report

Gift campaign	7/1/2014 to 6/30/2015			7/1/2015 to 6/30/2016			7/1/2016 to 6/30/2017		
	Number of Donors	Total given	Avg given per gift	Number of Donors	Total given	Avg given per gift	Number of Donors	Total given	Avg given per gift
Capital & Facilities	1	\$150	\$150	113	\$1,302,260	\$11,130	112	\$823,495	\$6,695
Centers & Programs	396	\$1,348,533	\$2,697	556	\$1,385,750	\$1,974	437	\$1,520,931	\$2,556
Clinical Education	13	\$27,388	\$1,956	9	\$14,755	\$1,639	6	\$12,000	\$2,000
Faculty Chair	1	\$1,000,000	\$1,000,000	2	\$1,750	\$875	1	\$1,000	\$1,000
Faculty Support	16	\$46,355	\$2,897	7	\$35,251	\$5,036	3	\$2,100	\$700
Library	1	\$100	\$100	2	\$100	\$50	1	\$200	\$200
Miscellaneous	22	\$34,690	\$1,445	8	\$164,042	\$18,227	16	\$172,320	\$10,136
Scholarships	190	\$908,850	\$3,819	179	\$1,521,419	\$7,315	137	\$2,110,847	\$13,111
Student Organizations	263	\$82,751	\$267	296	\$80,897	\$235	251	\$66,619	\$220
Unrestricted	1,273	\$1,080,667	\$699	1,238	\$915,470	\$610	1,020	\$923,730	\$748
	2,035	\$4,529,484	\$1,709	2,155	\$5,421,693	\$1,869	1,854	\$5,633,241	\$2,304

**REPORT ITEM**

1.     **REPORT BY:**                   Robin Drysdale, Director of Major Gifts & Gift  
Planning, Office of Development & Alumni  
Engagement
2.     **SUBJECT:**                    FY17 Fundraising Comparison Received Report
3.     **REPORT:**                    Written

**Background:**

- A review of final FY17 Received Report

**Attachment:**

5.2 FY17 Fundraising Comparison YTD Received Report

# Campaign Comparison Year to Date Received Report

Gift campaign	7/1/2014 to 6/30/2015			7/1/2015 to 6/30/2016			7/1/2016 to 6/30/2017		
	Number of Donors	Total given	Avg given per gift	Number of Donors	Total given	Avg given per gift	Number of Donors	Total given	Avg given per gift
Capital & Facilities	1	\$150	\$150	100	\$204,760	\$1,988	118	\$591,465	\$4,447
Centers & Programs	404	\$1,819,281	\$3,446	563	\$1,508,451	\$2,081	445	\$1,530,997	\$2,514
Clinical Education	15	\$231,738	\$13,632	10	\$15,005	\$1,501	7	\$12,250	\$1,750
Faculty Chair	2	\$501,100	\$250,550	4	\$252,850	\$63,213	3	\$252,066	\$84,022
Faculty Support	19	\$63,773	\$2,126	10	\$55,501	\$2,775	4	\$9,600	\$1,920
Library	1	\$100	\$100	2	\$100	\$50	1	\$200	\$200
Miscellaneous	21	\$34,590	\$1,504	9	\$164,983	\$16,498	16	\$33,320	\$1,960
Scholarships	211	\$1,455,038	\$5,052	195	\$1,359,871	\$5,151	154	\$2,230,618	\$11,266
Student Organizations	262	\$85,824	\$270	296	\$83,370	\$238	253	\$67,723	\$219
Unrestricted	1,285	\$931,226	\$546	1,289	\$947,417	\$564	1,071	\$903,526	\$648
	2,070	\$5,122,820	\$1,758	2,221	\$4,592,308	\$1,449	1,930	\$5,631,764	\$2,104

**REPORT ITEM**

1.     **REPORT BY:**                   Robin Drysdale, Director of Major Gifts & Gift Planning, Office of Development & Alumni Engagement
2.     **SUBJECT:**                    2018 Fiscal Year Outlook – Major Gifts & Gift Planning
3.     **REPORT:**                    Oral

**Background:**

- An overview of FY18 major gift and gift planning efforts.



## **ACTION ITEM**

- 1. REPORT BY:** Robin Drysdale, Director of Major Gifts & Gift Planning, Office of Development & Alumni Engagement
- 2. SUBJECT:** Review and General Recommendation Action for Slate of Appointment of the UC Hastings Foundation Trustees
- 3. RECOMMENDATION:**

Proposed action from the Board of Directors Advancement and Communications Committee to accept and recommend the slate of FY17-20 Foundation Board of Trustees to the UC Hastings Board of Directors pursuant to the Operations Agreement between UC Hastings and the UC Hastings Foundation.

The slate was voted on by the Foundation at its June 3, 2017 meeting, contingent on the full Board of Directors approval at the regular meeting on September 15, 2017.

### **Background:**

- The following is the slate of renewing and new nominees selected by the UC Hastings Foundation Board of Trustees Nominating Committee.

### **Attachment:**

- UC Hastings Foundation Board of Trustees FY 17-20 – Renewing Members and Nominees. Also attached is the Resolution accepting the FY17-20 newly appointed Trustees contingent upon approval of the Board of Directors at its September 15, 2017 meeting.

**(Please Note: A – Appointed; E – Elected)**

Renewing Nominees

E – Joan Cassman ‘77  
A – Scott D. Elliott ‘92  
E -- Tracy J. Holland ‘97  
A -- Nancy C. Miller ’78

New Nominees

A – Hon. C. Robert Jameson ‘66  
A – Hon. Alfred P. Knoll ‘68  
A – Tharan Gregory “Greg” Lanier ‘88  
A – Mark D. Petersen ‘83  
A – Catherine A. Rivlin ’84  
A – Kent Sprinkle ‘03

A total of 11 board positions expired and were available for the FY17-20 roster. Of the 11 board positions, 10 seats were filled.

Of the 11 board positions ending June 30, 2017, 3 current Trustees are renewing for a consecutive second term as allowable under the Foundation By laws. They are Scott D. Elliott '92, Tracy J. Holland '97, and Nancy C. Miller '78.

Former Trustee, Joan Cassman '77, was invited to re-join the Foundation after being off the board for one or more years as required under the By Laws. Ms. Cassman previously served two consecutive terms as a Trustee.

Out of the 11 positions, 8 seats are appointed by the Directors, and 2 seats are elected by the Trustees. As shown in the attached Appointed/Elected Allocation chart, the overall balance remains at 50% appointed by the Directors, plus 1, as mandated in the Operating Agreement between the College and the Foundation.

It will be recommended at the September 14, 2017 Board of Directors general meeting that the (8) Board-appointed positions on the Foundation board be approved. (Please see Appointed/Elected Allocation chart for appointed position allocations.)

The Nominating Committee, chaired by Deborah Lopez'83, conducted an intensive review of potential candidates. The criteria included identifiable leadership, demonstrated affinity and giving capacity, and diversity in geography, practice area/occupation, ethnicity and gender.

All the nominees were recommended by Trustees, Directors, administrators or staff. The nominees were personally briefed by members of the Nominating Committee regarding board responsibilities, including the minimum annual gift requirement of \$5,000 starting FY2017.

**PROPOSED RESOLUTION:**

The general acceptance of the FY17-20 newly appointed Trustees contingent on the approval of the Board of Directors at its September 14, 2017 meeting.

A – Scott D. Elliott ‘92

A – Hon. C. Robert Jameson ‘66

A – Hon. Alfred P. Knoll ‘68

A – Tharan Gregory “Greg” Lanier ‘88

A – Nancy C. Miller ‘78

A – Mark D. Petersen ‘83

A – Catherine A. Rivlin ‘84

A – Kent Sprinkle ‘03

## UC Hastings Foundation Board of Trustees FY 17-20 – Renewing Members

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### **Scott D. Elliott '92**



Scott Elliott, co-head of O'Melveny & Myers' Life Sciences Practice in San Francisco, focuses his practice on corporate and transactional matters including mergers and acquisitions, corporate financing transactions and general corporate and securities law issues. Mr. Elliott advises clients in various industries including life sciences and technology, and their investors. He has represented software, hardware, medical device and other technology companies in their incorporation to venture funding, growth counseling to sale or IPO.

Mr. Elliott has extensive experience advising public and private company clients and financial sponsors on acquisitions, buyouts, going-private transactions, and minority investments as well as public and private securities offerings.

Mr. Elliott has been recognized by *Legal 500* for Healthcare: Life Sciences (2016-2017).

Mr. Elliott earned his J.D., cum laude, from the University of California Hastings College of the Law, where he was a member of the Hastings Constitutional Law Quarterly, and his B.A. in Economics from the University of California, Berkeley. He frequently presents on topics such as public offerings, private equity, venture capital, and securities laws.

Prior to joining O'Melveny, Mr. Elliott was a partner at another AmLaw 50 firm.

## UC Hastings Foundation Board of Trustees FY 17-20 – Renewing Members

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### Nancy C. Miller '78



Nancy Miller has over 30 years of experience in providing legal services to numerous public agency and private clients, including cities, counties, local agency formation commissions (LAFCo), special districts, joint powers authorities, transportation commissions, and councils of governments. As Partner at Renne Sloan Holtzman Sakai LLP in Sacramento, Ms. Miller provides a full range of litigation services in both trial and appellate courts including the California Supreme Court.

Prior to joining Renne Sloan Holtzman Sakai, Ms. Miller was the President of Miller & Owen, a Sacramento law firm that provided legal services across California. She is a “preeminent lawyer,” the highest ranking by Martindale-Hubble, and since 2004, Ms. Miller has been rated as a “*Super Lawyer*” by “*Law and Politics*” magazine. From 2000-2010 Ms. Miller served as an Adjunct Professor of Law at the University of the Pacific, McGeorge School of Law teaching Local Government Law and more recently, she has served on the Board of Trustees of the University of California, Hastings College of Law in San Francisco.

Ms. Miller earned her J.D. from the University of California Hastings College of the Law and her B.A. from Stanford University.

## UC Hastings Foundation Board of Trustees FY 17-20 – New Nominees

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### **Hon. C. Robert Jameson '66 (ret.)**



In January 2005, after 20+ years of service, Honorable C. Robert Jameson retired from the Orange County Superior Court where he was the Supervising Judge of the Complex Civil Litigation Panel. Prior to that assignment, he was the Presiding Judge of the Superior Court for two years and Presiding Judge of the Appellate Division for several years. He also spent 10 years actively trying, and settling hundreds of civil matters on the Civil Panel. Judge Jameson brings his experience and excellent reputation as one of Orange County's most respected Judges to the private sector where he specialized in resolving complex commercial disputes, real property, medical malpractice, product liability, and other serious tort cases.

His call it as he sees it approach, consistency, great work ethic and commitment to the legal community have garnered him recognition from numerous organizations. Judge Jameson has been awarded “Judge of the Year” nine times over the course of his career by organizations such as: Celtic Bar Association (2001), Orange County Trial Lawyers (2000), The American Board of Trial Advocates (1999), Consumer Attorneys of California (1997) and the Hispanic Bar. He was also awarded “Alumnus of the Year,” by the UC Hastings College of the Law Alumni Association (1992).

Judge Jameson earned his J.D. from the University of California Hastings College of the Law and his B.A. in Political Science from the University of California at Davis.

In his spare time, Judge Jameson's hobbies include collecting things such as sports memorabilia, classic legal prints, and Howard Taft memorabilia, attending college and pro sporting events, gardening and classic country music.

## UC Hastings Foundation Board of Trustees FY 17-20 – New Nominees

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### **Hon. Alfred P. Knoll '68 (ret.)**



After private practice, and prior to his appointment as Administrative Law Judge for the California Unemployment Insurance Appeals Board, Judge Knoll was Judge Pro Tem for the California Office of Administrative Hearings, United States District Court, Northern California, and the San Francisco, Alameda and Marin County Superior Courts. He served as Mediator for California Mediation and Conciliation Service, California State Board of Accountancy and United States Postal Service. Judge Knoll has authored law review and working papers on adjudication, arbitration, intellectual property, contracts, real estate, wine labeling, constitutional and artist's rights issues, and authored <techjedi.wiki>, a lexicon of legal, tech and bio-tech terminology.

Judge Knoll is a member of the California State Bar, and served on the State Bar's Alternative Dispute Resolution committee. He received a gubernatorial appointment to the California Board of Court Reporters, and was a Board member of Hearts and Hands Media Arts Foundation and Creative Growth Arts Center.

Since his retirement in 2013, Judge Knoll has actively participated with UC Hastings in the Institute for Innovation Law, Startup Legal Garage project, facilitating collaboration with the region's technical and bio-technical startup communities, students and participating law firms, which addresses legal issues of startup businesses, and chairs its advisory committee.

Judge Knoll received his J. D. from the University of California Hastings College of the Law in 1968. He received post-graduate fellowships with the British Institute of International and Comparative Law, London, and the Max Planck Institute for International Patent, Trademark and Intellectual Property Law, Munich. He attended the National Judicial College, Trial Management, Reno, and participated in Harvard University's Negotiation Project, Cambridge. He obtained a B.A. degree from San Diego State University.



## UC Hastings Foundation Board of Trustees FY 17-20 – New Nominees

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### **Tharman Gregory “Greg” Lanier ‘88**



Mr. Lanier, Partner, in Jones Day’s Silicon Valley office, handles intellectual property and complex technology disputes for companies around the globe. Over almost three decades of litigation, Mr. Lanier has led jury and bench trials for patent, copyright and trademark infringement, validity and inventorship, claim construction and summary judgment hearings, as well as trials and arbitrations of other complex business disputes. He also has led IP enforcement efforts against competitors and departing employees, obtaining significant settlements and injunctions in matters across the country.

Mr. Lanier's broad experience has received significant recognition, including being named by the *Daily Journal* as a "Top IP Lawyer" in California, and selected as a "Lawyer of the Week" by *The American Lawyer*. Greg was described in *IAM Patent 1000 2016 — The World's Leading Patent Professionals* as a "globe-trotting litigator and consummate counselor." Mr. Lanier is included in *The Best Lawyers in America*, recommended by the Legal 500 for patent litigation, and regularly included in *Managing IP* and *Super Lawyers* for IP litigation.

Greg was born and raised and has spent his entire career in Silicon Valley. Greg is a member and immediate past Executive Chair of the Leadership Board of the College of Arts and Sciences of Santa Clara University. Greg also was an Adjunct Professor at UC Hastings College of the Law for several years, teaching patent litigation.

Mr. Lanier earned his J. D. from the University of California Hastings College of the Law where he served on the Moot Court Board. He earned his B. A., cum laude, from Santa Clara University.

## UC Hastings Foundation Board of Trustees FY 17-20 – New Nominees

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### **Mark D. Petersen '83**



Mark D. Petersen, Partner at Farella Braun + Martel, LLP, joined the firm in 1983 and has a broad-based litigation practice emphasizing complex securities issues, consumer fraud claims, construction issues, entertainment law, and general business litigation. Mr. Petersen has served as Vice-Chair of the firm, Chair of the Litigation Department, and as a member of the Management Team. He was instrumental in the formation of the Professional Development Committee, and he is currently Chair of the Partnership Admissions Committee.

Mr. Petersen brings substantial trial experience to his work. He has successfully represented both plaintiffs and defendants in employment matters (including a professional football team) ranging from non-competition and unfair trade practices to sexual harassment. Mr. Petersen has also worked extensively on construction matters, successfully defending construction managers, designers, private owners and contractors in numerous matters. He recently represented an international contractor related to the construction of a \$100 million computer chip manufacturing facility, and was able to favorably resolve the case through mediation before any litigation had begun.

Mr. Petersen was recognized in the *Legal 500 United States* in 2016 for Real Estate and Construction. He was listed in Northern California *Super Lawyers* (2008-2016) and received the Bar Association of San Francisco's Award of Merit in 2014. After receiving his B. S. at the U. S. Naval Academy, Mr. Petersen served as a nuclear propulsion engineer on submarines with the U. S. Navy until 1980.

Mr. Petersen earned his J. D., cum laude, from the University of California Hastings College of the Law where he was Editor-in-Chief, of the Hastings International and Comparative Law Review (1982 – 1983). He earned his B.S. from the U. S. Naval Academy.

## UC Hastings Foundation Board of Trustees FY 17-20 – New Nominees

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### **Catherine A. Rivlin '84**



Catherine A. Rivlin has been the Supervising Deputy Attorney General in the Appeals, Writs & Trials Section of the Criminal Division of the California Department of Justice in San Francisco overseeing a team of appellate attorneys and carrying a full load of criminal appellate cases for 32 years.

Ms. Rivlin earned her J.D. at the University of California Hastings College of the Law. While at UC Hastings, Ms. Rivlin was the Executive Editor of the Hastings Constitutional Law Quarterly. She earned her M.B.A. in Management from the Haas School of Business at the University of California Berkeley. Ms. Rivlin graduated with a B. A. with honors in Economics from Swarthmore College. She has served on the Board of Trustees for Swarthmore College.

Ms. Rivlin resides in Palo Alto with her husband, Robert L. Jones, who is a Partner at Cooley. They have three children.

## UC Hastings Foundation Board of Trustees FY 17-20 – New Nominees

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### **Kent Sprinkle '03**



As the Managing Partner of Carothers DiSante & Freudenberger LLP in San Diego, Mr. Sprinkle is one of the few California employment lawyers with extensive wage and hour class action trial experience. He represents and advises employers of all sizes in employment matters, including class actions, wrongful termination, harassment, discrimination and wage and hour matters.

Mr. Sprinkle brings a wide range of experience to his litigation, advice and counseling practice, keeping his clients' business needs and cost considerations at the forefront. He has extensive experience in class action cases, including trial and appellate work in both state and federal courts.

Mr. Sprinkle received the CLAY Award for being recognized as one of the Attorneys of the Year, Class Actions, *California Lawyer*, 2015. He has been listed in *Super Lawyers* Northern California Rising Star, 2013 – 2017.

Mr. Sprinkle is a Board Member of the Tony Patiño Fellowship from 2008 – present. This is a nonprofit organization that awards law-school scholarships at UC Hastings College of the Law, the University of Chicago and Columbia University

Mr. Sprinkle earned his J. D. from the University of California Hastings College of the Law where he served on the Hastings Constitutional Law Quarterly. While at UC Hastings, he was a Tony Patiño Fellow. Mr. Sprinkle earned his B. A., cum laude, at the University of San Francisco in English Literature.

## UC Hastings Foundation Appointed/Elected Allocation

Name	Term Expiration / Renewal	Current / Past Officers	Appointed by Board of Directors	Elected by Board of Trustees
<b>EXECUTIVE COMMITTEE</b>				
Robert Sall '78	2020	President		X
Eric Wersching '03	2020	1 <sup>st</sup> VP		X
Debra Bogaards '81	2018	2 <sup>nd</sup> VP		X
Deborah Lopez '83	2019	Secretary, Nominating Committee Chair	X	
Constance Kim '03	2018	Treasurer, Finance Committee Chair		X
Dana Cole '79	2019	Fundraising Co-Chair	X	
John D. Fiero '88	2019	Fundraising Co-Chair	X	
Jessica Leal '09	2018	Fundraising Co-Chair	X	
Tom Gede '81	2019	Board of Directors Chair & Foundation Liaison		X
	<b>9</b>		<b>4</b>	<b>5</b>
<b>BOARD OF TRUSTEES</b>				
Somnath Raj Chatterjee '94	2018			X
Peter Engstrom '85	2018		X	
Robert Freitas '77*	2018	Past Officer		X
Paula Leibovitz Goodwin '79	2018		X	
Scott Morris '76	2018		X	
Sandi Nichols '81	2018		X	
Veronica Rossman '97	2018			X
	<b>7</b>		<b>4</b>	<b>3</b>
Name	Term Expiration / Renewal	Current / Past Officers	Appointed by Board of Directors	Elected by Board of Trustees
<b>BOARD OF TRUSTEES</b>				
Kevin Cholakian '81	2019		X	
Sasha Cummings '97	2019		X	
Elizabeth England '78	2019			X
Dennis Ghan '77	2019			X
Thomas H. Gibson III '75	2019			X
J. George Hetherington '78	2019		X	
Brian Johnson '80	2019			X
John Koeppel '76	2019			X
James Mahoney '66	2019		X	

## UC Hastings Foundation Appointed/Elected Allocation

Leo Martinez '78*	2019	Past Officer		X
James J. Mullen III '97	2019		X	
Timothy Mills '86	2019			X
Jane Pandell '81 *	2019	Past Officer		X
Basil Plastiras '75 *	2019	Past Officer		X
Alyce Rubinfeld Fox '82	2019			X
Virginia Patt Prugh '81	2019		X	
Mai Shiver '92	2019			X
Yuri Vanitek '98	2019		X	
	<b>18</b>		<b>7</b>	<b>11</b>
<b>BOARD OF TRUSTEES</b>				
Joan Cassman '77	2020			X
Scott Elliott '92	2020		X	
Tracy J. Holland '97	2020			X
Al Knoll '68	2020		X	
Hon. C. Robert Jameson, Ret. '66	2020		X	
Greg Lanier '88	2020		X	
Nancy Miller '78	2020		X	
Mark D. Petersen '83	2020		X	
Catherine Rivlin '84	2020		X	
Kent Sprinkle '03	2020		X	
	<b>10</b>		<b>8</b>	<b>2</b>
<b>Ex Officio Member (+1)</b>				
<b>Dean David L. Faigman</b>	<b>1</b>		<b>1</b>	
<b>TOTAL MEMBERS</b>	<b>44 (+1)</b>		<b>23 (+1)</b>	<b>22</b>

*Notes:*

- *Past Officers and board members are “elected” by the Board of Trustees*
- *Members are “appointed” by the Board of Directors*
- *2 consecutive (3 year) term limits applicable, unless Past Officer exemption*

*\*Past Officer*

**REPORT ITEM**

1.     **REPORT BY:**                     John McCoy, Sr. Director of Alumni Engagement  
Office of Development & Alumni Engagement
  
2.     **SUBJECT:**                     2018 Fiscal Year Outlook – Alumni Engagement  
and Annual Giving
  
3.     **REPORT:**                     Oral

**Background:**

- An overview of FY18 alumni engagement and annual giving efforts.

Agenda Item: \*7  
Advancement and Communications  
August 10, 2017

**ADVANCEMENT AND COMMUNICATIONS COMMITTEE MEETING**

Meeting adjourned at \_\_\_\_:\_\_\_\_ p.m.